



JCDS Rec'd PCT/PTO 15 APR 2002

A34859-PCT-USA (071308.0282)

PATENT

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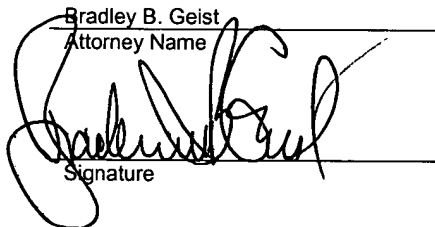
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Lettau et al.
Serial No. : 10/030,333
Filed : December 20, 2001
For : METHOD AND DEVICE FOR ROLLING A STRIP OF
VARYING THICKNESS
Examiner : To Be Assigned
Group Art Unit : To Be Assigned

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Bradley B. Geist
Attorney Name

Signature

27,551
PTO Registration No

April 12, 2002
Date of Signature

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This is in response to the attached Notice which was mailed on April 1, 2002.

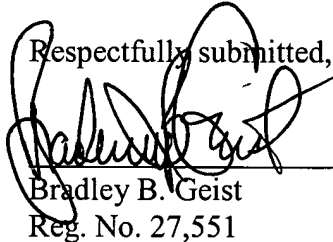
The above-identified application was filed on December 20, 2001. The accompanying "Transmittal Letter" (copy enclosed) makes it clear that the application was submitted under 35 U.S.C. 371 for entry of the national phase of International Application No. PCT/DE00/2073.

Accordingly, Applicants believe that the papers as filed satisfied the requirements for treatment as the national stage of a PCT application and respectfully request that application papers filed under Serial No. 10/030,333 be accepted as a national stage filing, as the documents and instructions which were filed are in accordance with the Rules for a 35 U.S.C. 371 submission.

No additional fees associated with this communication are required; however, the Commissioner is hereby authorized to charge payment of any such fees required to Deposit Account No. 02-4377. Two copies of this sheet are enclosed for this purpose.

Date: April 12, 2002

By:

Respectfully submitted,

Bradley B. Geist
Reg. No. 27,551

Attorney for Applicant(s)
(212) 408-2562

BAKER BOTTS L.L.P.
30 Rockefeller Plaza, 44th Floor
New York, New York 10112

BAKER BOTTS

LLP

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

EXPRESS MAIL LABEL No.

EF378764843US

DATE

12/20/01

ATTORNEY'S DOCKET NO.

A34843-PCT-USA

U.S. APPLICATION NO.

t/b/a

INTERNATIONAL APPLICATION NO.
PCT/DE00/02073INTERNATIONAL FILING DATE
June 26, 2000PRIORITY DATE CLAIMED
July 1, 1999

TITLE OF INVENTION METHOD AND DEVICE FOR ROLLING A STRIP OF VARYING THICKNESS

APPLICANT(S) FOR DO/EO/US Ulrich Lettau, Siegbert Steidl, Wilfried Tautz and Dietrich Wohld

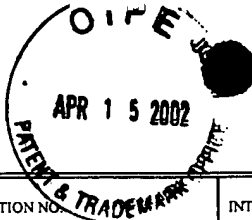
Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210)
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:
 - a. ☒ a copy of the International Search Report (PCT/ISA/210)
 - b. ☒ a copy of the International Preliminary Examination Report (PCT/IPEA/409)

Comparison document; English and German versions of application; cover page of PCT international application PCT/DE00/02073; formal drawings (Figs. 1-3); postcard; German priority document; and check in the amount of \$740.00.



| | | | | | |
|---|--------------|--|------------|---|-----|
| INTERNATIONAL APPLICATION NO. PCT/DE00/02073 | | INTERNATIONAL FILING DATE June 26, 2000 | | PRIORITY DATE CLAIMED July 1, 1999 | |
| 17. <input type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) \$1,040 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5)) \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO (1.492(a)(2)) \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 740 | | | | <u>CALCULATIONS</u> <small>PTOUSEONLY</small> | |
| | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | \$ | |
| Total Claims | 2 -20= | 0 | X \$ 18.00 | \$ | 0 |
| Independent Claims | 2 -3= | 0 | X \$ 84.00 | \$ | 0 |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 740 |
| Reduction by 1/2 for filing by small entity, if applicable. | | | | \$ | |
| SUBTOTAL = | | | | \$ | 740 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ | 740 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ | 740 |
| | | | | Amt. refunded | \$ |
| | | | | charged | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 740.00 to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge our Deposit Account No. 02-4377 in amount of \$ to cover the above fees. A copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4377. A copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Louis S. Sorell BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, New York 10112-4498 | | | | | |
| Attorney: Louis S. Sorell | | | | PTO Reg: 32,439 | |
| | | | | 12/20/01 | |
| | | | | Date | |

BAKER BOTTS LLP

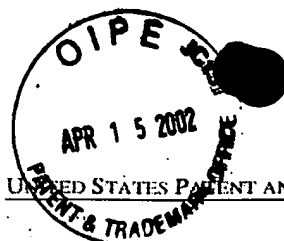
Attorney Docket Number: A34843-PCT-USA

Title: METHOD AND DEVICE FOR ROLLING A STRIP OF VARYING THICKNESS



Use Space Below for Additional Information:

74859-20/032



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

| | | |
|-----------------------------|-----------------------|---------------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/030,333 | Ulrich Lettau | A34843 PCT-USA |

Louis S Sorell
Baker Botts
30 Rockefeller Plaza
New York, NY 10112-4498

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/DE00/02073 | |
| I.A. FILING DATE | PRIORITY DATE |
| 06/26/2000 | |

CONFIRMATION NO. 5793



OC000000007756387

Date Mailed: 04/01/2002

FILING OF 35 U.S.C. 111 APPLICATION

The application papers filed are not clearly identified as a submission to enter the national stage under 35 U.S.C. 371 or contain conflicting or no instructions as to whether the papers are filed under 35 U.S.C. 371 or 35 U.S.C. 111. In accordance with 37 C.F.R. 1.494(f) and 37 C.F.R. 1.495(g), such papers are accepted for filing under 35 U.S.C. 111. Accordingly, the papers do not satisfy the requirements for treatments as the national stage of a PCT application and will be processed as a regular U.S. application, including the fees provided for under 37 CFR 1.16, 37 CFR 1.17 and 37 CFR 1.18.

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/911 (371 Conversion to Regular)